



(Rev. 5/92) Information Disclosure Statement List By Applicant Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number KCX-316 (15606)	Serial Number 10/007,627
	Applicant JOSEPH G. CAPIZZI	
	Filing Date NOVEMBER 8, 2001	Group 1731

Sheet 5 of 5

NOTE: If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) (corresponding reason number is listed in "COPY NOTE" column):

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USSN \_\_\_\_\_, filed \_\_\_\_\_, or  
USSN \_\_\_\_\_, filed \_\_\_\_\_;  
relied on under 35 U.S.C. Section 120, per Rule 98(d)

- (3) Both reasons (1) and (2) apply  
(4) No legible complete copy is possessed, in custody or controlled, or readily available

#3

U. S. PATENT DOCUMENTS										
EXAMINER INITIALS	PATENTEE NAME	PATENT NUMBER							ISSUE DATE	COPY NOTE
MH	COOK, ET AL.	5	0	4	8	5	8	9	09/17/91	
	BAFFORD, ET AL.	5	0	8	9	2	9	6	02/18/92	
	CLIFFORD, ET AL.	5	1	4	5	5	2	7	09/08/92	
	CHO	5	1	6	5	2	6	1	11/24/92	
	POTTER, ET AL.	5	2	1	9	6	2	0	06/15/93	
	JANCHIRAPONVEJ, ET AL.	5	3	2	8	6	8	5	07/12/94	
	ARTHUR, ET AL.	5	3	4	0	6	0	9	08/23/94	
	POTTER, ET AL.	5	3	6	6	1	6	1	11/22/94	
	SUDALL, ET AL.	5	3	9	9	4	1	2	03/21/95	
	MORTON, ET AL.	5	4	9	2	6	5	5	02/20/96	
	HERMANS, ET AL.	5	5	1	0	0	0	1	04/23/96	
	SMITH, ET AL.	5	5	5	2	0	2	0	09/03/96	
	RUGOWSKI, ET AL.	5	5	9	1	3	0	9	01/07/97	
	ENGEL, ET AL.	5	6	6	7	6	3	6	09/16/97	
	GRÜNING, ET AL.	5	7	9	2	7	3	7	08/11/98	
	SEIDEL, ET AL.	5	8	3	0	4	8	3	11/03/98	
	TROKHAN, ET AL.	5	8	4	0	4	0	3	11/24/98	
↓	PETERSON, ET AL.	5	8	6	1	1	4	3	01/19/99	
MH	KRZYSIK	5	8	6	9	0	7	5	02/09/99	
MH	QIN, ET AL.	5	9	8	5	4	3	4	11/16/99	
MH	WENDT, ET AL.	6	0	1	7	4	1	7	01/25/00	

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	COUNTRY	DOCUMENT NUMBER	PUBLICATION DATE	TRANSLATION			COPY NOTE
				YES	NO	N/A	

"NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c).

EXAMINER <i>M. Halper</i>	DATE CONSIDERED 2/27/03
Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.	

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Sheet 4 of 5

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U. S. PATENT DOCUMENTS												
EXAMINER INITIALS		PATENTEE NAME				PATENT NUMBER				ISSUE DATE		COPY NOTE
MH		MITTER				4 6 1 2 8 7 4				09/23/86		
		ARTHUR, ET AL.				4 6 4 6 6 7 5				03/03/87		
		ZEIFFER				4 6 5 5 0 5 6				04/07/87		
		ZIMMER				4 6 6 5 7 2 3				05/19/87		
		PACIFICI				4 6 6 7 8 8 2				05/26/87		
		BERENDT				4 7 3 1 0 9 2				03/15/88		
		BERENDT, ET AL.				4 7 3 4 1 0 0				03/29/88		
		BERENDT, ET AL.				4 7 4 1 7 3 9				05/03/88		
		VOSWINCKEL				4 7 6 2 7 2 7				08/09/88		
		HOPKINS				4 7 7 3 1 1 0				09/27/88		
		LAUCHENAUER				4 7 7 8 4 7 7				10/18/88		
		BERENDT, ET AL.				4 7 9 2 6 1 9				12/20/88		
		BEEH				4 7 9 9 2 7 8				01/24/89		
		ZIMMER, ET AL.				4 8 3 3 7 4 8				05/30/89		
		MOSER, ET AL.				4 8 7 2 3 2 5				10/10/89		
		EDWARDS, ET AL.				4 8 9 4 1 1 8				01/16/90		
		BROWN, ET. AL.				4 9 1 2 9 4 8				04/03/90		
		BAKSHI				5 0 0 8 1 3 1				04/26/91		
		KLETT, ET AL.				5 0 0 9 9 3 2				04/23/91		

FOREIGN PATENT DOCUMENTS												
EXAMINER INITIALS	COUNTRY	DOCUMENT NUMBER						PUBLICATION DATE	TRANSLATION			COPY NOTE
									YES	NO	M/A	

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Sheet 1 of 1

(Rev. 5/92) Information Disclosure Statement List By Applicant Under 37 CFR Section 1.98(a)(1) (Use several sheets if necessary)	Attorney Docket Number KCX-316 (15606)	Serial Number 10/007,627
	Applicant Joseph G. Capizzi	
	Filing Date: November 8, 2001 Confirmation No.: 9974	Group 1731

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U.S. PATENT DOCUMENTS												
EXAMINER INITIALS		PATENTEE NAME		PATENT NUMBER						ISSUE DATE	COPY NOTE	
PAV		Kelly, et al.		6	1	3	2	8	0	3	10/17/2000	

CLASS	Sub CLASS
-	-

FOREIGN PATENT DOCUMENTS															
EXAMINER INITIALS		COUNTRY	DOCUMENT NUMBER							PUBLICATION DATE	TRANSLATION			COPY NOTE	
											YES	NO	N/A		
MM		EP	0	1	9	5	4	5	8	A1	09/24/1986			X	

CLASS	Sub CLASS
3	3

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EXAMINER INITIALS	OTHER DOCUMENTS		COPY NOTE
MM	Specify author (if any), Title, Pertinent Pages, Date & Place of Publication		
	PCT Search Report	KCX-316-P-3	02/26/2003
EXAMINER	DATE CONSIDERED		
	6/19/03		
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U.S. PATENT DOCUMENTS										
EXAMINER INITIALS	PATENTEE NAME	PATENT NUMBER								ISSUE DATE
MH	Rateman, et al.	5	5	2	4	8	2	8		06/11/1996
MH	Foster, et al.	5	7	9	5	3	8	6		08/18/1998

CLASS	sub

FOREIGN PATENT DOCUMENTS														
EXAMINER INITIALS	COUNTRY	DOCUMENT NUMBER								PUBLICATION DATE	TRANSLATION			COPY NOTE
											YES	NO	N/A	
MH	GB	1	5	8	5	8	7	4		03/11/1981			X	
MH	WO	0	2	1	6	6	8	9	A2	02/28/2002			X	
MH	WO	0	2	1	6	6	8	9	A3	02/28/2002			X	
MH	WO	0	2	3	8	8	6	5	A2	05/16/2002			X	
MH	WO	0	2	3	8	8	6	5	A3	06/16/2002			X	

CLASS	sub

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EXAMINER INITIALS	OTHER DOCUMENTS	COPY NOTE
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EXAMINER	M. Halperin	DATE CONSIDERED 10/8/03
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U. S. PATENT DOCUMENTS									
EXAMINER INITIALS	PATENTEE NAME	PATENT NUMBER						ISSUE DATE	COPY NOTE
MH	BARTLEY, ET AL.	3	7	2	2	4	6	9	03/27/73
	DE HOWITT, ET AL.	3	8	6	5	0	7	8	02/11/75
	CONE, ET AL.	3	9	0	5	3	2	9	09/16/75
	SCHUIERER	3	9	3	0	4	6	5	01/06/76
	HECKERT	4	0	0	5	0	2	8	01/25/77
	HECKERT	4	0	0	5	0	3	0	01/25/77
	JAMES, ET AL.	4	0	1	6	8	3	1	04/12/77
	ASHMUS, ET AL.	4	0	2	3	5	2	6	05/17/77
	VON DER ELTZ, ET AL.	4	0	6	1	0	0	1	12/06/77
	WIETSMA	4	0	8	1	3	1	8	03/28/78
	BARCHI	4	0	8	9	2	9	6	05/16/78
	WALTER, ET AL.	4	0	9	9	9	1	3	07/11/78
	GREGORIAN, ET AL.	4	1	1	8	5	2	6	10/03/78
	WALLSTEN	4	1	5	8	0	7	6	06/12/79
✓	KAUFMAN	4	1	5	9	3	5	5	06/26/79

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	COUNTRY	DOCUMENT NUMBER	PUBLICATION DATE	TRANSLATION			COPY NOTE
				YES	NO	R/A	
MH	GERMANY	2 5 2 2 0 8	10/03/11		X		
MH	EPO	0 0 4 7 9 0 8 A1	03/24/82		X		
MH	EPO	0 0 9 8 3 6 2 B1	01/18/84		X		
MH	EPO	0 1 2 0 4 7 2 A1	10/03/84		X		
MH	EPO	0 3 3 6 4 3 9 A2	10/11/89				

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U.S. PATENT DOCUMENTS												
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MH		Fowler et al.		5	6	3	5	4	6	9	06/03/97	
MH		Horwell et al.		5	8	5	7	6	2	7	01/12/99	

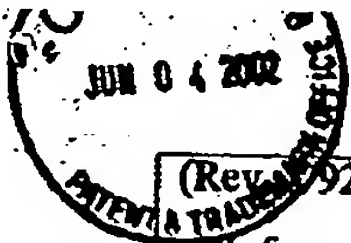
CLASS	SUB CLASS
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M. Halper	2/27/03	
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U.S. PATENT DOCUMENTS												
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MH	Nahta	4	2	3	0	7	4	6		10/28/80	✓	✓
	Radvan, et al.	4	2	6	3	3	4	4		04/21/81	✓	✓
	Mecker	4	2	8	8	4	7	5		09/08/81	✓	✓
	Walter, et al.	4	5	6	2	0	9	7		12/31/85	✓	✓
	Pounder, et al.	5	2	2	7	0	2	3		07/13/93	✓	✓
	Strong, et al.	5	5	0	5	9	9	7		04/09/96	✓	✓
	Tench, et al.	5	6	0	5	7	1	9		02/25/97	✓	✓
	Rokman, et al.	5	9	0	4	8	0	9		05/18/99	✓	✓
	Koso, et al.	6	1	0	3	1	2	8		08/15/00	✓	✓
	Rokman, et al.	6	2	3	8	5	1	8		05/29/01	✓	✓

FOREIGN PATENT DOCUMENTS															
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											YES	NO	N/A	circ	sub circ
MH		EPO	0	1	9	6	5	7	6	04/12/89			X	—	—
↓		WO	9	9	1	3	1	5	8	03/18/99			X	—	—
↓		WO	9	9	1	9	0	8	1	04/22/99			X	—	—
↓		EPO	1	1	4	9	9	4	7	10/30/01			X	—	—
													X	—	—

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EXAMINER INITIALS	OTHER DOCUMENTS		COPY NOTE
	Specify author (if any), Title, Pertinent Pages, Date & Place of Publication		
MH	Recent Developments in Foam Application Systems		
EXAMINER	DATE CONSIDERED		
	M. Halper		
	2/27/03		
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MM	JENKINS	4	1	8	4	9	1	4	01/22/80
	NAMBOODRI	4	1	9	3	7	6	2	03/18/80
	NAHTA	4	1	9	8	3	1	6	04/15/80
	CLIFFORD, ET AL.	4	2	3	7	8	1	8	12/09/80
	HELLER	4	2	7	9	9	6	4	07/21/81
	PACIFICI, ET AL.	4	2	9	7	8	6	0	11/03/81
	VIDALIS	4	3	0	5	1	6	9	12/15/81
	JONES, ET AL.	4	3	4	3	8	3	5	08/10/82
	PAULS, ET AL.	4	3	4	8	2	5	1	09/07/82
	VAN WERSCH, ET AL.	4	3	6	4	7	8	4	12/21/82
	KELLER	4	3	6	6	6	8	2	01/04/83
	GRÜBER	4	3	8	4	8	6	7	05/24/83
	PAULS, ET AL.	4	3	8	5	9	5	4	05/31/83
	SHELTON	4	3	8	7	1	1	8	06/07/83
	DRIESSEN, ET AL.	4	4	0	0	9	5	3	08/30/83
	CLIFFORD, ET AL.	4	4	0	2	2	0	0	09/06/83
	SASSEVILLE, ET AL.	4	4	3	5	9	6	5	03/13/84
	MITTER	4	4	4	0	8	0	8	04/03/84

FOREIGN PATENT DOCUMENTS

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#3

U. S. PATENT DOCUMENTS										
EXAMINER INITIALS	PATENTEE NAME	PATENT NUMBER							ISSUE DATE	COPY NOTE
MM	MITTER	4	4	4	2	7	7	1	04/17/84	—
	MITTER	4	4	4	4	1	0	4	04/24/84	—
	MITTER	4	4	5	3	4	6	2	06/12/84	—
	GRÜBER, ET AL.	4	4	6	3	4	6	7	08/07/84	—
	KRÜGER, ET AL.	4	4	6	3	5	8	3	08/07/84	—
	ROSNER	4	4	7	4	1	1	0	10/02/84	—
	MITTER	4	4	9	7	2	7	3	02/05/85	—
	MITTER	4	4	9	8	3	1	8	02/12/85	—
	OTTING	4	5	0	1	0	3	8	02/26/85	—
	HOPKINS	4	5	0	2	3	0	4	03/05/85	—
	CLIFFORD	4	5	3	4	1	8	9	08/13/85	—
	ZIMMER	4	5	5	2	7	7	8	11/12/85	—
	SIEVERS	4	5	5	7	2	1	8	12/10/85	—
	PÄSSLER, ET AL.	4	5	5	9	2	4	3	12/17/85	—
	BROWN, ET AL.	4	5	7	1	3	6	0	02/18/86	—
	FUNGER, ET AL.	4	5	7	6	1	1	2	03/18/86	—
	CUNNINGHAM, ET AL.	4	5	8	1	2	5	4	04/08/86	—
	ANDERSON	4	5	9	7	8	3	1	07/01/86	—

FOREIGN PATENT DOCUMENTS									
EXAMINER INITIALS	COUNTRY	DOCUMENT NUMBER	PUBLICATION DATE	TRANSLATION			COPY NOTE		
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EXAMINER M. Halpern	DATE CONSIDERED 2/27/03
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Sheet 1 of 1

3/4/03

(Rev. 5/92) Information Disclosure Statement List By Applicant Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number KCX-316 (15606)	Serial Number 10/007,627
	Applicant Joseph G. Capizzi	
	Filing Date: November 8, 2001 Confirmation No.: 9974	Group 1731

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U.S. PATENT DOCUMENTS											
EXAMINER INITIALS	PATENTEE NAME	PATENT NUMBER								ISSUE DATE	COPY NOTE
MK	Stoveken	4	2	7	6	3	3	9	06/30/1981		

CLASS	Sub
CLASS	CLASS

FOREIGN PATENT DOCUMENTS										
EXAMINER INITIALS	COUNTRY	DOCUMENT NUMBER	PUBLICATION DATE	TRANSLATION			COPY NOTE			
				YES	NO	N/A				
MK	WO	0 0 1 5 9 0 7 A1	03/23/2000			X				
MK	WO	9 8 4 0 2 0 7 A1	09/17/1998			X				

CLASS	Sub
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       USPN \_\_\_\_\_, filed \_\_\_\_\_;  
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	WO	9 8 4 0 2 0 7 A1	09/17/1998			X						

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